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on June 25, 2004

Glenn P. Ladwig, Patent Attorney

INFORMATION DISCLOSURE STATEMENT Examining Group 3731 Patent Application Docket No. UF-336XC3D1 Serial No. 10/812,776

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Art Unit

3731

**Applicant** 

David F. Muir

Serial No.

10/812,776

Filed

March 29, 2004

Conf. No.

4996

For

Materials and Methods for Nerve Grafting, Selection of Nerve Grafts, and In

Vitro Nerve Tissue Culture

MS AMENDMENT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §§1.97 AND 1.98

Sir:

In accordance with 37 CFR §1.97 and §1.98, the applicant would like to bring to the attention of the Examiner, the references cited in the following patent application:

U.S. Serial No. 10/218,864, filed August 13, 2002.

The subject application, Serial No. 10/812,776, claims the benefit under 35 USC §120 of the filing date of patent application Serial No. 10/218,864. The applicant respectfully requests that the copies of references supplied in the Information Disclosure Statements of the 10/218,864 application, as well as references cited during the prosecution thereof, be made of record in the 10/812,776 application. As copies of the references filed in the 10/218,864 application, and cited on the attached

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form PTO/SB/08, can be found in the 10/218,864 casefile, copies of those references are not provided herewith.

Please note that DE 19530556 (citation no. F9) is in a foreign language. In accordance with MPEP §609, an English language abstract of DE 19530556 and a foreign Examination Report citing DE 19530556 were submitted in the 10/218,864 application (citation nos. F10 and R56, respectively, on the attached form PTO/SB/08).

It is respectfully requested that the references cited in the 10/218,864 application be considered in the examination of the subject application and that their consideration be made of record.

The applicant respectfully asserts that the substantive provisions of 37 CFR §§1.97 and 1.98 are met by the foregoing statements.

Respectfully submitted,

Glenn P. Ladwig Patent Attorney

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Phone No.: 352-375-8100

Fax No.: 352-372-5800 Address: 2421 N.W. 41s

2421 N.W. 41st Street, Suite A-1 Gainesville, FL 32606-6669

GPL/mv

Attachment: Form PTO/SB/08 (7 pages)

PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Complete if Known Substitute for form 1449A/PTO **Application Number** 10/812,776 **Filing Date** March 29, 2004 INFORMATION DISCLOSURE **First Named Inventor** David F. Muir STATEMENT BY APPLICANT **Group Art Unit** 3731 **Examiner Name** 

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Sheet of 7 **Attorney Docket Number** UF-336XC3D1

				U.S. PATENT DOCUMEN	TS		
Examiner Initials*	Cite No. 1	U.S. Patent Document  Number Kind Co		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
	U1	2003/0068815	A1	Stone et al.	04-10-2003	All	
-	U2	2001/0039459	A1	Stone	11-08-2001	All	
	U3	2001/0034043	A1	Su et al.	10-25-2001	All	
	U4	6,455,309	B2	Stone	09-24-2002	All	
	U5	6,267,786	B1	Stone	07-31-2001	All	
	U6	6,231,608	B1	Stone	05-15-2001	All	
	U7	6,093,563		Bennett et al.	07-25-2000	All	
	U8	6,054,569		Bennett et al.	04-25-2000	All	
	U9	5,997,863		Zimmermann et al.	12-07-1999	All	
	U10	5,916,557		Berlowitz-Tarrant et al.	06-29-1999	All	
	U11	5,716,617		Khandke et al.	02-10-1998	All	
	U12	5,292,509		Hageman	03-08-1994	All	
	U13	5,231,580		Cheung et al.	07-27-1993	All	
	U14	4,933,185		Wheatley et al.	06-12-1990	All	
	U15	4,696,816		Brown	09-29-1987	All	
	U16	10/218,316		Muir (patent application)	08-13-2002	All	
	U17	10/218,315		Muir (patent application)	08-13-2002	All	

				FOREIGN	PATENT DOCMENTS			
			Foreign Patent Docu	ıment	Name of Patentee or	Date of Publication	Pages, Columns, Lines,	
Examiner Initials*	Cite No. 1	Of	fice <sup>3</sup> Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)	Applicant of Cited Document	of Cited Document MM-DD-YYYY	Where Relevant Passages or Relevant Figures Appear	T
	F1	wo	91/06573	A1	La Jolla Cancer Res. Foundation	05-16-1991	All	
	F2	wo	91/06303	A1	Case Western Reserve Univ.	05-16-1991	All	
	F3	wo	01/39795	A2	IBEX Tech., Inc.	06-07-2001	Ail	Г
	F4	wo	01/35977	A2	IBEX Tech., Inc.	05-25-2001	All	
	F5	EP	0 875 253	A2	Seikagaku Corp.	11-04-1998	All	Т
	F6	EP	0 613 949	A2	Maruha Corporation	09-07-1994	All	Π
	F7	EΡ	0 776 968	A1	Sumitomo Bakelite Co.	06-04-1997	All	I

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Signature	Considered

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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<sup>1</sup> Unique citation designation number. 2 See attached Kinds of U.S. Patent Documents. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449A/PTO				Complete if Known		
Substitute	for form 1449AVP1	U		Application Number	10/812,776	
INFO	RMATION	DISCL	OSURE	Filing Date	March 29, 2004	
STATEMENT BY APPLICANT				First Named Inventor	David F. Muir	
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Sheet	2	of	7	Attorney Docket Number	UF-336XC3D1	

				U.S. PATENT DOCUMEN	TS	
Examiner Initials*	Cite No. 1		ment nd Code <sup>2</sup> if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	U18	6,200,564	B1	Lamont et al.	03-13-2001	All
	U19	6,214,978	B1	Truog et al.	04-10-2001	All
	U20	5,866,120		Karageozian et al.	02-02-1999	All
	U21	5,830,468		Bini	11-03-1998	All
	U22					
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Examiner Initials*	No. 1	Office <sup>3</sup> Number <sup>4</sup>		Kind Code <sup>5</sup> (if known)		Applicant of Cited Document	Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear	T <sup>6</sup>
	F8	EP	0 576 294		A2	Seikagaku Kogyo Kabushiki Kaisha	12-29-1993	Ali	
	F9	DE	19530556			Minuth Will Prof Dr	09-05-1996	All	
	F10	DE	19530556 (English abstract	)		Minuth Will Prof Dr	09-05-1996	All	
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<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.



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Substitute for form 1449B/PTO				Complete if Known			
		-	ACUBE	Application Number	10/812,776		
	RMATION D			Filing Date	March 29, 2004		
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Sheet	3	of	7	Attorney Docket Number	UF-336XC3D1		

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. 1	Include name of the author (in CAPITAL LETTERS), title of the article, (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	R1	AGIUS, E. and P. COCHARD "Comparison of Neurite Outgrowth Induced by Intact and Injured Sciatic Nerves: A Confocal and Functional Analysis" <i>The Journal of Neuroscience</i> , January 1, 1998, 18(1):328-338.	
		BENNETT, G.S. et al. "Chondroitinase ABC delivered to the site of a spinal cord injury upregulates GAP-43 expression in dorsal root ganglion neurons" Society for Neuroscience Abstracts, 2000, 26(1-2):Abstract no. 324.3 from 30 <sup>th</sup> Annual Meeting of the Society of Neuroscience, presented November, 2000; mailed to subscribers	
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	R4	BRADBURY, E.J. et al. "Chondroitinase ABC promotes functional recovery after spinal cord injury" Nature, April 2002, 416(6881):636-640.	
	17.4	BRADBURY, E.J. et al. "Chondroitinase ABC promotes functional recovery after spinal cord injury" Nature, 2002, 416:636-640.	
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	R6	BRADBURY, E.J. <i>et al.</i> "Chondroitinase ABC Delivered to the Site of a Spinal Cord Injury Upregulates GAP-43 Expression in Dorsal Root Ganglion Neurons" <i>Soc. Neurosci Abstr</i> , November 2000, abstract presented at the 30 <sup>th</sup> Annual Meeting of the Society of Neuroscience.	
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Signature	Considered

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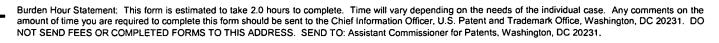
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	e for form 1449B/PT	-	OCUDE	Application Number	10/812,776	
	RMATION I			Filing Date	March 29, 2004	
STAT	TEMENT BY	APP	LICANI	First Named Inventor	David F. Muir	
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Sheet	4	of	7	Attorney Docket Number	UF-336XC3D1	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. 1	Include name of the author (in CAPITAL LETTERS), title of the article, (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	R14	EVANS, P.J. et al. "Cold Preserved Nerve Allografts: Changes in Basement Membrane, Viability, Immunogenicity, and Regeneration" Muscle Nerve, November 1998, 21:1507-1522.	
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	NZZ	JONES, L.L. et al. "Neurotrophic factors, cellular bridges and gene therapy for spinal cord injury" J. Physiology, 2001, 533.1:83-89.	
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	R25	KREKOSKI, C.A. et al. "Metalloproteinase-Dependent Predegeneration In Vitro Enhances Axonal Regeneration within Acellular Peripheral Nerve Grafts" J. Neuroscience, December 2002, 22(23):10408-10415.	
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Examiner	Date
Signature	Considered

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.





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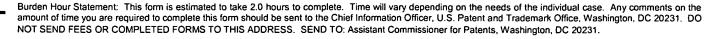
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Filing Date	March 29, 2004	
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		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article, (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
	R27	LA FLEUR, M. et al. "Basement Membrane and Repair of Injury to Peripheral Nerve: Defining a Potential Role for Macrophages, Matrix Metalloproteinases, and Tissue Inhibitor of Metalloproteinases-1" J. Exp. Med., December 1996, 184:2311-2326.	
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	R29	LEMONS, M.L. et al. "Chondroitin Sulfate Proteoglycan Immunoreactivity Increases Following Spinal Cord Injury and Transplantation" Exper. Neuro., 1999, 160:51-65.	
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Examiner	Date	
Signature	Considered	

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.





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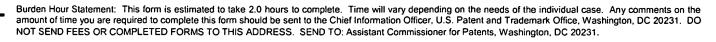
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	R40	SALONEN, V. et al. "Laminin in traumatized peripheral nerve: basement membrane changes during degeneration and regeneration" <i>J Neurocytol</i> , 1987, 16:713-720.			
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	R43	SMITH-THOMAS, L.C. et al. "Increased axon regeneration in astrocytes grown in the presence of proteoglycan synthesis inhibitors" <i>J. Cell Sci.</i> , 1995, 108:1307-1315.			
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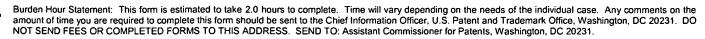
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